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CERTIFICATE OF MAILING

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ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENT  
WASHINGTON, D.C. 20231, ON MAY 29, 2002

Marianne H Michel  
AGENT/ATTORNEY FOR APPLICANT

May 29, 2002  
DATE

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TECHNICAL CENTER 160012900

Attorney Docket No. 1109A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tao et al. Date: May 29, 2002  
Serial No.: 09/496,444 Group Art Unit: 1638  
Filed: February 2, 2000 Examiner: Cynthia Collins  
For: Cell Cycle Polynucleotides, Polypeptides and Uses Thereof

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is a copy of the Official Filing Receipt (with changes noted thereon)  
received from the PTO in the above application for which issuance of a corrected filing  
receipt is respectfully requested.

There is an error with respect to the data entered for Domestic Priority Data as  
Claimed by Applicant.

**The Filing Receipt should read as follows:**

Domestic Priority data as claimed by applicant:

THIS APPLN IS A CONTINUATION-IN-PART OF 09/398,858 09/20/1999  
WHICH IS A NONPROVISIONAL OF 60/101,551 09/23/1998  
AND IS A CONTINUATION-IN-PART OF 09/257,131 02/25/99

Serial No. 09/496,444  
Group Art Unit: 1638

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The correction is not due to any error by the applicant and no fee is due.

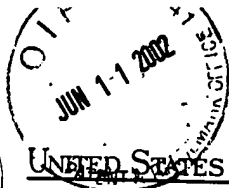
The Commissioner is hereby authorized to charge the payment of any other fees under 37 C.F.R. §1.19(h) concerning this transaction, or to credit any overpayment, to Deposit Account No. 16-1852. A duplicate copy of this sheet is attached.

Respectfully submitted,



Marianne H. Michel  
Attorney for Applicant(s)  
Registration No. 35,286

PIONEER HI-BRED INTERNATIONAL, INC.  
Corporate Intellectual Property  
7100 N.W. 62<sup>nd</sup> Avenue  
P.O. Box 1000  
Johnston, Iowa 50131-1000  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/496,444	02/02/2000	1638	2968	1109		63	6

27310  
PIONEER HI-BRED INTERNATIONAL INC.  
7100 N.W. 62ND AVENUE  
P.O. BOX 1000  
JOHNSTON, IA 50131

CONFIRMATION NO. 6243

CORRECTED FILING RECEIPT



\*OC000000007409147\*

DOCKETED

FEB 07 2002

Date Mailed: 02/01/2002

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Yumin Tao, Ames, IA;  
William J. Gordon-Kamm, Urbandale, IA;  
Keith S. Lowe, Johnston, IA;  
Matthew A. Bailey, Des Moines, IA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/119,857 02/12/1999  
WHICH IS A CIP OF 09/398,858 09/20/1999  
WHICH CLAIMS BENEFIT OF 60/101,551 09/23/1998  
WHICH IS A CIP OF 09/118,857 07/20/1998  
WHICH IS A CON OF PCT/FR98/00708 04/08/1998  
AND CLAIMS BENEFIT OF 60/084,672 05/07/1998  
AND IS A CIP OF 09/257,131 02/25/99

**Foreign Applications**

If Required, Foreign Filing License Granted 04/05/2000

CPA filed on: 12/18/2001

Projected Publication Date: 05/16/2002

Non-Publication Request: No

Early Publication Request: No

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Title

CELL CYCLE POLYNUCLEOTIDES, POLYPEPTIDES AND USES THEREOF

Preliminary Class

800

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

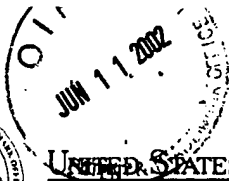
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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**UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/496,444	02/02/2000	Yumin Tao	1109

**CONFIRMATION NO. 6243**

27310  
PIONEER HI-BRED INTERNATIONAL INC.  
7100 N.W. 62ND AVENUE  
P.O. BOX 1000  
JOHNSTON, IA 50131



Date Mailed: 03/22/2002

**RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**

**DOCKETED**  
APR 09 2002

**Claims, Fees, Inventors and Continuity**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

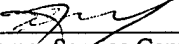
Any corrections that may need to be done to your Filing Receipt should be directed to:

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Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE